

SECURITIES SECTOR	2010	2011	2012
A. GENERAL INPUT VARIABLES			
AML Laws and Regulations (preventive measures and supervision)	0,95	0,95	0,95
Quality of AML Supervision	0,85	0,85	0,85
Market Pressure to Meet AML Standards	0,75	0,75	0,75
Commitment to Good Corporate Governance	0,85	0,85	0,85
Penalties	0,5	0,5	0,5
Enforcement of AML Obligations	0,7	0,7	0,7
Staff Integrity (of Securities Firms)	0,85	0,85	0,85
Staff Knowledge (of Securities Firms)	0,5	0,5	0,5
Compliance Function	0,85	0,85	0,85
AML Record Keeping and Monitoring Systems (of Securities Firms)	0,8	0,8	0,8
Corporate and Trust Transparency	0,75	0,75	0,75
Identification Infrastructure	0,95	0,95	0,95
Availability of Independent Information Sources	0,95	0,95	0,95
B. INPUTS VARIABLES FOR SELECTED SPECIFIC PRODUCTS AND SERVICES:			
Accounts of (services to) individuals (on their own behalf).			
Volume	0,2	0,2	0,2
Average Transaction Size	0,3	0,2	0,3
Client Profile	0,6	0,6	0,6
Other Vulnerable Features	0,2	0,2	0,2
Existence of Appropriate Specific Controls	0,65	0,65	0,65
Accounts of (services to) legal persons (on their own behalf).			
Volume	0,6	0,6	0,6
Average Transaction Size	0,6	0,4	0,5
Client Profile	0,6	0,6	0,6
Other Vulnerable Features	0,2	0,2	0,2
Existence of Appropriate Specific Controls	0,65	0,65	0,65